Privacy Shield

Last Updated 31-Jan-2020

Purview respects your privacy. This Privacy Shield Notice ("**Notice**") describes our standards and procedures for handling Personal Information transferred from the European Economic Area ("EEA") and Switzerland to the U.S. in accordance with Purview's obligations under the EU-U.S. and Swiss-U.S. Privacy Shield Frameworks.

Purview complies with the EU-U.S. *Privacy Shield Framework and the Swiss-U.S. Privacy Shield Framework(s)* (Privacy Shield) as set forth by the U.S. Department of Commerce regarding the collection, use, and retention of personal information transferred from the *European Union, the United Kingdom and Switzerland*, as applicable to the United States in reliance on Privacy Shield. Purview has certified to the Department of Commerce that it adheres to the Privacy Shield Principles ("**Principles**") with respect to such information. If there is any conflict between the terms in this privacy policy and the Privacy Shield Principles, the Privacy Shield Principles shall govern. To learn more about the Privacy Shield program, and to view our certification, please visit https://www.privacyshield.gov/. Our Privacy Shield certification can be found at https://www.privacyshield.gov/list.

For the purpose of this Notice, "**Personal Information**" means any data relating to an identified or identifiable individual, including, for example, name, address, telephone number and e-mail address, and "**processing**" means any operation performed on Personal Information, such as, for example, collection, use, management, consultation or disclosure. This Notice supplements our Purview <u>Privacy Statement</u>. Unless specifically defined in this Notice, the terms in this Notice have the same meaning as in our Privacy Statement. In case of conflict between this Notice and the Principles, the Principles will govern.

HOW WE OBTAIN PERSONAL INFORMATION

We obtain and process Personal Information from the EEA, the United Kingdom, and Switzerland in different capacities:

- As a data controller, we collect and process EEA, the United Kingdom, and Swiss Personal Information directly from individuals, either via our publicly available websites, including https://www.purview.net and https://www.horosproject.org or in connection with our customer, partner and vendor relationships.
- As an agent (as that term is used in the Principles), we obtain and process EEA, United Kingdom, and Swiss Personal Information on behalf of and under the instructions of our customers in connection with cloud services Purview provides ("**Cloud Services**"), such as Personal Health Information stored by customers using our Purview or Horos offerings. In that context, customers are the data controllers or agents and the roles and responsibilities of the parties for the processing of Personal Information are defined in our agreements with customers.

Purview commits to comply with the Principles with respect to all Personal Information received from the EEA, the United Kingdom, and Switzerland in reliance on the Privacy Shield.

PRIVACY SHIELD PRINCIPLES

- 1. **Notice** Purview's <u>Privacy Statement in combination with this Notice describes our</u> privacy practices with respect to Personal Information received from the EEA, the United Kingdom, and Switzerland in reliance on the Privacy Shield.
- 2. Choice When providing our Cloud Services, our customers or the individuals themselves can choose the types of Personal Information we process and the purposes of the processing. If provide by our customers, they are responsible for providing notice to individuals. In the event Personal Information is (i) to be used for a purpose that is materially different from the purposes for which the Personal Information was originally collected or subsequently authorized, or (ii) transferred to a third party acting as a data controller, individuals will be given, where practical and appropriate, an opportunity to opt out of having their Personal Information so used or transferred where it involves non-sensitive information. Where such use or transfer involves sensitive information, individuals must opt-in before such use or transfer.
- 3. **Data Integrity and Purpose Limitation** Any Personal Information we receive may be used by Purview for the purposes indicated in our Purview Privacy Statement or as otherwise notified to you. We will not process Personal Information in a way that is incompatible with these purposes unless subsequently authorized by you.

We take reasonable steps to limit the collection and usage of Personal Information to that which is relevant for the purposes for which it was collected, and to ensure that such Personal Information is reliable, accurate, complete and current. Individuals are encouraged to keep their Personal Information with Purview up to date and may contact Purview as indicated below or in the Purview Privacy Statement to request that their Personal Information be updated or corrected.

We will retain your Personal Information in an identifiable form only for the period necessary to fulfill the purposes outlined in the Purview Privacy Statement, unless a longer retention period is required or permitted by law or by the Principles. We will adhere to the Principles for as long as we retain the Personal Information collected under the Privacy Shield.

When providing our Cloud Services, we process and retain Personal Information as necessary to provide our services as permitted in our agreement with customers, or as required or permitted under applicable law.

4. Accountability for Onward Transfer of Personal Information Purview may transfer Personal Information for the purposes described in the Purview Privacy Statement to a third party acting as a data controller or as an agent. If we intend to disclose Personal Data to a third party acting as a data controller or as an agent we will comply with, and protect, Personal Information as provided in the Accountability for Onward Transfer Principle. When providing our Cloud Services we disclose Personal Information as provided in our agreement with customers.

We remain responsible for the processing of Personal Information received under the Privacy Shield and subsequently transferred to a third party acting as an agent if the agent processes such Personal Information in a manner inconsistent with the Principles, unless we prove that we are not responsible for the event giving rise to the damage.

- 5. **Security** Purview takes reasonable and appropriate precautions, taking into account the risks involved in the processing and the nature of the Personal Information, to help protect Personal Information from loss, misuse and unauthorized access, disclosure, alteration and destruction.
- 6. Access Where appropriate, individuals have reasonable access to their Personal Information and may request corrections, deletions, or additions where the Personal Information is inaccurate or has been processed in violation of the Principles. We may limit or deny access to Personal Information where providing such access is unreasonably burdensome or expensive under the circumstances, or as otherwise permitted by the Principles. You may request access to your Personal Information by contacting us as described below.

When providing our Cloud Services, we only process and disclose the Personal Information as specified in our agreements with your or our customers. Our customer controls how Personal Information is disclosed to us and processed, and how it can be modified. Accordingly, if you want to request access, or to limit use or disclosure of your Personal Information, please contact either us or the company to which you submitted your Personal Information and that uses our Cloud Services. If you contact us with the name of our customer to which you provided your Personal Information, we will refer your request to that customer and support them in responding to your request.

7. **Recourse, Enforcement and Liability** Purview has established procedures to periodically verify implementation of and compliance with the Principles. Purview conducts an annual self-assessment of its practices regarding Personal Information intended to verify that the assertions Purview makes about its practices are true and that such practices have been implemented as represented.

Purview is subject to the investigatory and enforcement powers of the FTC, the Department of Transportation or any other U.S. authorized statutory body that is designated as such in the future. We may be subject to the requirement to disclose personal information in response to lawful requests by public authorities, including those necessary to meet national security or law enforcement requirements.

In case of disputes, individuals are able to seek resolution of their questions or complaints regarding the processing of their Personal Information in accordance with the Principles. If an individual feels that Purview is not abiding by this Notice or is not in compliance

with the Principles, he or she should first contact Purview at the contact information provided below.

If an issue cannot be resolved through Purview's internal dispute resolution mechanism, you may submit a complaint to JAMS, which provides, at no cost to you, an independent third-party dispute resolution option based in the U.S. To contact JAMS and/or learn more about the company's dispute resolution services, including instructions for submitting a complaint, please visit here. For residual complaints not fully or partially resolved by other means, you may be able to invoke binding arbitration as detailed in the Principles available here.

Amendment This Notice may be amended consistent with the requirements of the EU-U.S., United Kingdom-US, and Swiss-U.S. Privacy Shield Frameworks. When we update this Notice, we will also revise the "Last Updated" date at the top of this document.

Questions or complaints. If you have any questions, concerns or complaint regarding our privacy practices, or if you'd like to exercise your choices or rights, you can contact us:

- By email at support@purview.net
- Via our contact form available here
- by mailing to Purview, Attn: Compliance; 2001 Tidewater Colony Drive, Suite 203, Annapolis, MD 21401